



PATENT APPLICATION

Docket No: 15129.9

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
)
	Endo, et al.)
)
Serial No.	09/755,966)
)
Filed:	January 5, 2001)
)
For:	MAPPING CLINICAL DATA WITH A HEALTH)
	DATA DICTIONARY)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Please find, pursuant to 37 CFR § 1.98(a)(1), enclosed Form PTO-1449 that contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 CFR § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 37 CFR §§ 102 or 103, the enclosed list of references is disclosed to fully comply with the duty of disclosure set forth in 37 CFR § 1.56.

Pursuant to 37 CFR § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information cited is considered to be material to patentability. Additionally, Applicant makes no representation regarding the completeness of this list nor represents that other art does not exist.

In accordance with 37 CFR §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

DATED this 5 day of April 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John C. Stringham", with a long horizontal flourish extending to the right.

JOHN C. STRINGHAM
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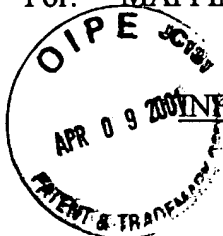
Applicant: Endo, et al.

Serial No.: 09/755,966

Att'y Docket No.: 15129.9

Filing Date: January 5, 2001

For: MAPPING CLINICAL DATA WITH A HEALTH DATA DICTIONARY

INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANTU.S. Patent Documents

Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
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NONE

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	Class	Sub Class	Trans- lation
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NONE

Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

- _____A1 Cassin, et al., "Strategic and Management Lessons Learned in the Implementation of a Data Dictionary," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 6 pages
- _____A2 Lee Min Lau, et al., "A Method for the Automated Mapping of Laboratory Results to LOINC," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 5 pages
- _____A3 Wilson, et al., "Issues Encountered While Standardizing Diagnostic Imaging Procedures of Multiple Healthcare Institutions to LOINC," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 1 page

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Other Documents (Continued)

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

- _____A4 Shakib, et al., "Challenges of Mapping Microbiology Data to a Common Data Dictionary," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 1 page
- _____A5 Huff, et al., "The Impact of Terminology Adoption upon Ourselves and Our Customers: Clinical Software Developer's Perspective," Converging Information, Technology, and Health Care, Proceedings of the 2000 AMIA Annual Symposium, 3 pages
- _____A6 "3M Care Innovation, Healthcare Data Dictionary (HDD)," 3M Health Information Systems, Bulletin # 70-2009-3086-8, 3/00, 2 pages.
- _____A7 SNOMED RT: Results of Beta Test with 23 Organizations, Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 4 pages
- _____A8 Lee Min Lau, et al., "Applying the Desiderata for Controlled Medical Vocabularies to Drug Information Databases," Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 5 pages
- _____A9 Lee Min Lau, et al., "Comparing the Mapping of Laboratory Results to LOINC among Different Healthcare Institutions Using a Common Data Dictionary," Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 1 page

Examiner:

Date Considered:

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Form PTO-1449

Applicant: Endo, et al.

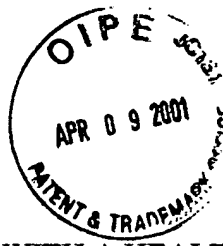
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Sheet 3 of 4

Att'y Docket No.: 15129.9



Other Documents (Continued)

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

- _____A10 Huff, et al., "The Impact of Business Issues on Terminology Adoption: Clinical Software Developers' Perspective," Transforming Health Care Through Informatics: Cornerstones for a New Information Management Paradigm, Proceedings of the 1999 AMIA Annual Symposium, 3 pages
- _____A11 "3M Care Innovation, Clinical Data Repository (CDR)," 3M Health Information Systems, Bulletin #70-2009-1895-4, 8/99, 2 pages.
- _____A12 "3M Fact Sheet, 3M Lifetime Data Repository," 3M Health Information Systems, Bulletin #70-2008-8786-0, 8/95, 4 pages.
- _____A13 "Toward a Universal Medical Dictionary: A Solution for Implementing Today's Computer-Based Patient Record," 3M Health Care Report, Vol. V, Issue 2, 1995, pp. 1-8.

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

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